



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Karun Philip and Harpal Maini Art Unit : 3624
Serial No. : 09/693,568 Examiner : Narayanswamy Subramanian
Filed : October 20, 2000
Title : SEGREGATION AND MANAGEMENT OF FINANCIAL ASSETS BY RULES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

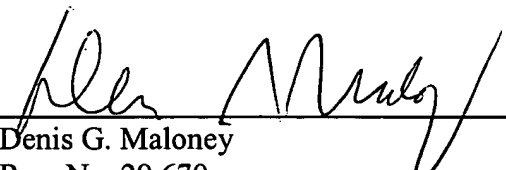
Applicants submit the references listed on the attached form PTO-1449.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: _____

9/20/04



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Substitute Form PTO-1449

U.S. Department of Commerce
Patent and Trademark Office

Attorney's Docket No.

12023-003001

Application No.

09/693,568

**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

Applicant

Karun Philip and Harpal Maini

Filing Date

October 20, 2000

Group Art Unit

3624

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,630,127	05/13/97	Moore et al.			
	AB	6,070,151	05/30/00	Frankel			
	AC	6,233,566	05/15/01	Levine et al.			
	AD	6,363,393	03/26/02	Ribitzky			
	AE	6,513,020	01/28/03	Weiss et al.			
	AF						
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Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

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